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FACSIMILE TRANSMISSION

DATE: March 12, 2009

RE: U.S. Patent Application No. 11/764,126
**PAIRED BASIS SWAP RISK AND CREDIT MITIGATION
SYSTEM AND COLLATERAL MINIMIZATION SYSTEM**

FROM: Peter D. Aufrichtig, Esq.

TO	FIRM	FACSIMILE NO.
Mr. Brian Fertig	U.S. Patent and Trademark Office	(571) 272-6131
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REMARKS:

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PTOL-413A (02-09)

Approved for use through 03/31/2009. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 11/764,126

First Named Applicant: J. Scott Perry

Examiner: Brian Fertig

Art Unit: 3694

Status of Application: pending

Tentative Participants:

(1) Peter D. Aufrichtig

(2) J. Scott Perry

(3)

(4)

Proposed Date of Interview: March 24, 2009

Proposed Time: 11:00AM AM/PM

Type of Interview Requested:

(1) ☐ Telephonic(2) ☒ Personal(3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated:

☐ YES☐ NO

If yes, provide brief description:

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) 101 Rejections	Claims 1-6	None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

Brief Description of Argument to be Presented:

Discuss proposed amendments to the claims to overcome 101 rejections and potential additional claims.

An interview was conducted on the above-identified application on

NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Applicant's Representative Signature

Peter D. Aufrichtig

Typed/Printed Name of Applicant or Representative

31,221

Registration Number, if applicable

Examiner/SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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